

Summary of Entities Purportedly Connected to the York County Casino Referendum

September 25, 2017

Prepared by the Legislature's Office of Program Evaluation and Government Accountability (OPEGA) based on information from:

- media articles;
- the Joint Standing Committee on Veteran's and Legal Affairs;
- the Maine Commission on Governmental Ethics and Election Practices;
- the Offices of the Secretary of State and Attorney General; and
- limited internet research.

For a chronological summary of relevant events these entities are associated with, see OPEGA's *Chronological Summary of Events Relevant to the York County Casino Referendum*. For a visual illustration of the interrelationships between the entities described in this summary, see OPEGA's *Entities Involved in the York County Casino Ballot Initiative and Referendum Efforts*.

Entities involved in efforts to get casino initiative on the ballot

- **Horseracing Jobs Fairness (HJF):** The ballot question committee established in 2015 for the initial southern Maine casino initiative. Associated individuals/entities include:
 - **Lisa Scott:** Miami-based sister of Shawn Scott. HJF's principal officer. From December 2015 to April 2017, HJF reported Lisa Scott as the sole funder of initiative to the tune of \$4.3 million dollars. In April 2017, Ms. Scott amended campaign finance reports to show that she had received the money from other entities and she registered three additional ballot questions committees - "Lisa Scott," Miami Development Concepts, and International Development Concepts. Ms. Scott is the principal officer for Miami Development Concepts and International Development Concepts. She is also sole owner of the limited liability companies associated with those committees. Ms. Scott's records have been subpoenaed by the Maine Ethics Commission.
 - **Cheryl Timberlake:** An Augusta-based lobbyist who has served as HJF's treasurer and "registered agent." Ms. Timberlake has been subpoenaed by the Maine Ethics Commission to provide specified records.
 - **Bruce Merrill:** Portland-based attorney representing "casino proponents." First appeared in news articles about campaign backers appealing the first failed petition attempt in April 2016. In subsequent media, referred to as "Lisa Scott's attorney." Appeared at 6/9/2017 Ethics Commission Meeting representing HJF, Lisa Scott, International Development Concepts LLC, Miami Development Concepts LLC and Cheryl Timberlake as treasurer for HJF.
- **Miami Development Concepts (MDC):** Ballot question committee registered by Lisa Scott in April 2017 after the Maine Ethics Commission began probing into HJF finances. MDC's initial campaign financed report showed funds received by Capital Seven, LLC and Regent Able Associate, Co. which were then passed along to HJF.
 - **Lisa Scott:** Principal officer of the ballot question committee and sole owner of the limited liability corporation of the same name.
 - **Capital Seven, LLC:** Funder of MDC ballot question committee.
 - **Regent Able Associate, Co:** Funder of MDC ballot question committee.

- **International Development Concepts:** Ballot question committee registered by Lisa Scott in April 2017 after the Maine Ethics Commission began probing into finances of the HJF committee. International Development Concepts' initial financial filings show funds received by Capital Seven, LLC that were then passed along to HJF.
 - **Lisa Scott:** Principal officer of the ballot question committee and sole owner of the limited liability corporation of the same name.
 - **Capital Seven, LLC:** Funder of IDC ballot question committee.

- **“Lisa Scott” Ballot Question Committee:** Ballot question committee registered by Lisa Scott in April 2017 after the Maine Ethics Commission began probing into finances of the HJF committee. “Lisa Scott” ballot question committee’s initial campaign finance report showed funds received by Capital Seven, LLC that were then passed along to HJF.
 - **Lisa Scott:** Principal officer of the ballot question committee.
 - **Capital Seven, LLC:** Funder of “Lisa Scott” ballot question committee.

- **Capital Seven, LLC:** Las Vegas company formerly, and potentially presently, owned by Shawn Scott. Amended campaign finance reports by Lisa Scott showed Capital Seven as a financial backer of HJF through multiple other ballot question committees. Capital Seven was the Shawn Scott-associated company involved in the purchase and sale of the Bangor Historic Track in 2002 - 2003. The legislation associated with the current casino initiative is worded in a way that only Capital Seven, LLC would be able to obtain a license to operate a casino in York County. Michael Sherry, spokesman for the recently formed political action committee (PAC) Progress for Maine, disclosed Capital Seven as a backer of the PAC and said that David A. Wilson and Shawn Scott are principals in the company. Capital Seven’s records have been subpoenaed by the Maine Ethics Commission.
 - **Shawn Scott:** Brother of Lisa Scott. Mr. Scott was behind the 2003 campaign to allow slot machines in Bangor. Mr. Scott, through his company Capital Seven, LLC, sold Bangor Raceway to Penn National for \$51 million after that gaming legislation passed. Mr. Scott is described by the Progress for Maine PAC spokesman as a partner in the Progress for Maine PAC, and current principal in Capital Seven, LLC and Atlantic and Pacific Realty Capital.
 - **David A. Wilson:** Described by Progress for Maine PAC spokesman as a partner in the PAC and a current principal in both Capital Seven, LLC and Atlantic and Pacific Realty Capital. Mr. Wilson founded American General, Corp. which is now headed by Michelle Wilson and which has made in-kind contributions to the Progress for Maine PAC. Mr. Wilson also founded a PAC (Good Morals for Maine) in 2003 that funded full page ads to oppose the approval of a racino in municipalities near Scarborough. The Maine Harness Racing Commission’s 2003 investigative report on Bangor Historic Track, Inc.¹ states that “...employees of Mr. Scott apparently registered ‘Good Morals for Maine...’” This implies that Mr. Wilson was an employee of Shawn Scott. Mr. Wilson is also President of Koa Overseas Consultants, Ltd. reported to be associated with Atlantic and Pacific Realty Capital.

¹ The Maine Harness Racing Commission investigated the suitability of Bangor Historic Track, Inc. in conjunction with its application for a racino license. The Commission said the suitability investigation was necessitated by the new ownership structure involving Shawn Scott and Capital Seven, LLC.

- **Alexis Fallon:** Tax attorney specializing in U.S. possessions (Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands). The phone numbers listed on Ms. Fallon’s website both have Massachusetts area codes. Ms. Fallon represents Capital Seven LLC and Regent Able Associate Co. She informed the Maine Ethics Commission on 6/9/17 that she is the tax attorney for the Scott family enterprises and that she has represented them for about 14 years.
- **Regent Able Associate, Co.:** Toyko-based company shown in amended campaign finance reports as having provided money to HJF via MDC. As of 8/30/17, the Maine Ethics Commission has been unable to subpoena the company due to a lack of valid address for service. The company also gave money to the unsuccessful 2016 Massachusetts referendum bid for casino development.
 - **Alexis Fallon:** Tax attorney representing Regent Able Associate, Co.
- **Bridge Capital:** Company based in the Mariana Islands. The company’s website describes it as an “international banking and asset management company specializing in high-yield distressed debt acquisition and structuring, equity and debt placement, investment management and real estate secured lending.” Bridge Capital’s records have been subpoenaed by the Maine Ethics Commission.
 - **Dan Riley:** Portland-based lobbyist and attorney with Norman, Hanson & DeTroy LLC who claimed to be representing Bridge Capital when he appeared before the Veteran’s and Legal Affairs (VLA) Committee at a hearing in March 2017. Mr. Riley later stated in a letter to the VLA Committee that he had misunderstood who had hired him, and that he was actually representing Universal Capital. Mr. Riley said that his misunderstanding had occurred because the individuals he was corresponding with about testifying at the hearing were the same as those he had corresponded with previously in what he thought were dealings with Bridge Capital. He explained he had incorrectly assumed Bridge Capital was the parent company of Universal Capital. In his letter to VLA, he clarified that his firm had not acted for Bridge Capital with regard to the casino initiative and he had no knowledge of any Bridge Capital involvement in the initiative. He also stated his firm had no knowledge of the actual ownership of membership interests in Universal Capital.
 - **Shawn Scott:** Vice Chairman and Director of Business Development for Bridge Capital.
 - **John Baldwin:** Principal and CEO of Bridge Capital. Other than being linked to Shawn Scott and Bridge Capital, Mr. Baldwin is not mentioned as being involved in the York County casino referendum.
- **Universal Capital:** Company of unknown ownership. Universal Capital’s representative Dan Riley stated in his letter to VLA that in January 2016 his law firm began working on the potential acquisition of Scarborough Downs for client Universal Capital. He said the law firm later began discussing the possibility of working with Universal Capital on the campaign being conducted by HJF and finally entered into an engagement with Universal Capital to do so on April 13, 2017.
 - **Dan Riley:** Portland-based lobbyist and attorney representing Universal Capital.

- **Olympic Consulting:** Lewiston-based firm paid by HJF to collect signatures for the ballot initiatives.
 - **Stavros Mendros:** Lewiston-based, former Maine legislator and political consultant who runs Olympic Consulting. Mr. Mendros is a notary public and was described by media as having notarized the majority of casino petitions in the initial effort.

Entities involved in the campaign to pass the referendum

- **Progress for Maine (Company):** Company incorporated in Maine in July 2017 by Rumiko Yoneyama.
 - **Rumiko Yoneyama:** Registered agent for Progress for Maine (company). Also, general counsel for American General Corp., the company that has made in-kind contributions to the Progress for Maine PAC.
- **Progress for Maine PAC:** Political action committee founded by Atlantic and Pacific Realty Capital, a New York company. In August 2017, the PAC was registered in support of the York County casino referendum campaign. PAC Spokesman, Michael Sherry, identified David A. Wilson and Shawn Scott as partners in the PAC. Campaign filings show Michelle Wilson, head of American General Corp., to be a decision-maker for the PAC.
 - **Michael Sherry:** Spokesman for Progress for Maine PAC.
 - **Michelle Wilson:** Decision-maker for Progress for Maine PAC. Head of American General, Corp.
 - **Shawn Scott:** Partner in Progress for Maine PAC.
 - **David A. Wilson:** Partner in Progress for Maine PAC.
 - **Charlene Cushing:** Farmington-based harness racer. Listed as an officer in the Progress for Maine PAC.
 - **American General Corp.:** Funder of Progress for Maine PAC.
 - **Atlantic and Pacific Realty Capital:** Founder of Progress for Maine PAC.
- **Atlantic and Pacific Realty Capital:** A New York company listed as founding the Progress for Maine PAC. The spokesman for Progress for Maine PAC stated that David A. Wilson and Shawn Scott are principals in the company.
 - **Shawn Scott:** Company principal.
 - **David A. Wilson:** Company principal.
- **American General Corp.:** A California company founded by David A. Wilson and headed by Michelle Wilson that has made about \$11,000 in-kind contributions to the Progress for Maine PAC.
 - **Michelle Wilson:** President of American General Corp.
 - **David A. Wilson:** Founder of American General Corp.
 - **Rumiko Yoneyama:** General Counsel for American General Corp.

- **Koa Overseas Consultants, Ltd.:** Firm that operates in China and does consulting associated with the American EB-5 visa program. Reported as affiliated with Atlantic and Pacific Realty Capital, which founded the Progress for Maine PAC.
 - **David A. Wilson:** President of Koa Overseas Consultants, Ltd.

- **Capital Seven, LLC:** Las Vegas company formerly, and potentially presently, owned by Shawn Scott identified by the Progress for Maine PAC spokesman as being a backer of the PAC. Additionally, the legislation associated with the current casino referendum initiative is worded in a way that only Capital Seven, LLC would be able to obtain a license to operate a casino in York County. Capital Seven was the Shawn Scott-associated company involved in the purchase and sale of the Bangor Historic Track in 2002 - 2003.
 - **Shawn Scott:** Capital Seven principal.
 - **David A. Wilson:** Capital Seven principal.

- **Goddard Gunster Firm:** D.C.-based consulting firm that worked on the Brexit² campaign. Hired by the Progress for Maine PAC and paid approximately \$96,000 as of the last campaign filing.
 - **Gerry Gunster:** CEO of Goddard Gunster Firm
 - **Dwayne Bickford:** Former executive director for the Maine Republican Party. Partner at Goddard Gunster Firm.

- **Filler & Associates, P.A.:** Portland-based firm retained by the Progress for Maine PAC for CPA services.

- **Amplified Strategies:** Washington State-based campaign consultants paid approximately \$25,000 by the Progress for Maine PAC.

- **O'Neill and Associates:** Boston-based firm paid approximately \$58,000 by the Progress for Maine PAC for campaign consulting.

- **Public Opinion Strategies:** Virginia-based firm paid approximately \$59,000 by the Progress for Maine PAC for campaign consulting.

- **Rodriguez Strategies:** California-based firm paid approximately \$62,500 by the Progress for Maine PAC for campaign consulting.

² Brexit was the nickname of a successful 2016 referendum effort in the United Kingdom for that country's exit from the European Union.

Entities purportedly formerly or presently associated with organizations or individuals involved in the York County ballot initiative and/or referendum campaign

- **Hoolae Paoa:** Shawn Scott business associate. Previously served as vice president of the Bangor Historic Track and was the CEO of Capital Seven, LLC at the time of the 2003 citizen-initiated referendum to allow slot machines at commercial racetracks.
- **Sanum Investments, Ltd.:** A Macau (a special administrative region of China) company run by Bridge Capital and used to invest in a casino in Laos. Subject of 2013 federal court ruling in Nevada. Japanese lawyer Toko Kobayashi was listed as Sanum’s director in the lawsuit.
- **Toko Kobayashi:** Japanese lawyer listed as the director of Sanum Investments, Ltd (a Bridge Capital company) in a 2013 federal court ruling in Nevada. Mr. Kobayashi also gave money directly to an unsuccessful 2016 Massachusetts referendum bid for casino development. He was listed by Regent Able Associate Co., which also gave money to the 2016 MA bid, as a Regent Able developer.
- **Robert Wessels:** An associate of David A. Wilson. Mr. Wessels led a planned real estate project for a Bridge Capital affiliate that is in foreclosure for a second time. Mr. Wessels was also shown in a 2013 federal court ruling in Nevada to be associated with Sanum Investments, Ltd. Mr. Wessels was reported to be the managing director of a company looking to build a resort in Macau.
- **David Nealley:** Bangor city councilor in 2003, allegedly paid by Shawn Scott to help with the 2003 “racino” referendum. Mr. Nealley was also hired as a casino advocate by those involved with the 2016 MA referendum bid.
- **Eugene McCain:** Developer behind the 2016 MA campaign to open a slots parlor near Suffolk Downs racetrack. Mr. McCain initially denied that Shawn Scott was involved in financing the campaign though financial documents showed Mr. Scott to have been a major funder. Mr. McCain was reported to have had previous business ties with Mr. Scott and Hoolae Paoa.